



Department of Grant Compliance and Administration

Statewide Training Series

Title IV, Part A: Program Overview

Presented by the
Federal Program Compliance Division
July 27, 2021



**Title IV, Part A, Subpart 1:
Student Support and Academic Enrichment
Program Overview**

July 27, 2021

**LaNetra Guess
Program Director, Title IV, Part A
Texas Education Agency
Federal Program Compliance Division**

Program Intent and Purpose

Application Schedule, PS3107

Approved Waivers, 2020-2021

Consultation and Stakeholders

Use of Funds

Program Evaluation

Program Assurances

Title IV, Part A Program Guide

Program and Fiscal Requirements

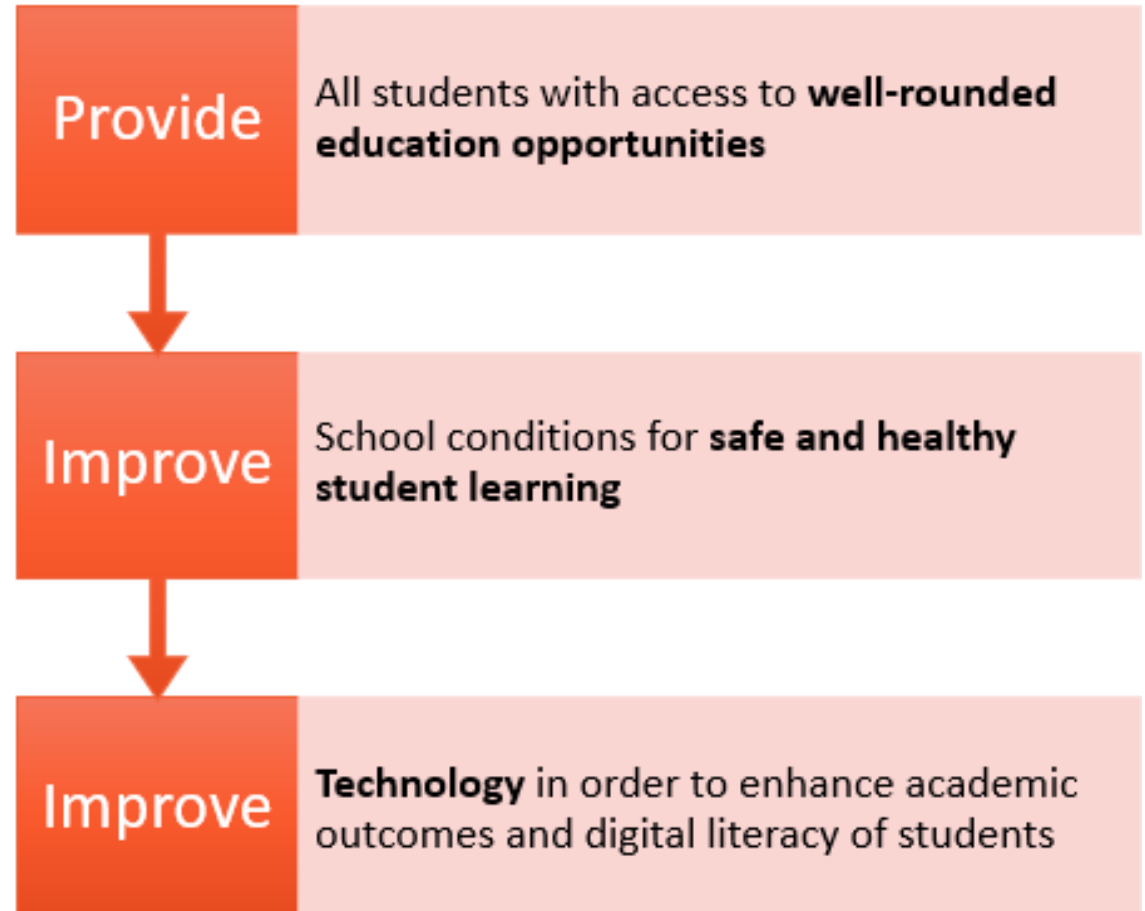
Private School Equitable Services

Compliance Monitoring

Resources

Program Intent and Purpose

Title IV, Part A, Subpart 1, Student Support and Academic Enrichment grants are designed to *improve the academic achievement of all students* by increasing the capacity of LEAs, schools, and communities to:



Recommended Use of Funds for School Safety

The federal grant has many allowable uses that fall within the broad categories of 1) well-rounded education opportunities, 2) safe and healthy students, and 3) effective use of technology.

TEA has previously awarded Title IV, Part A, Subpart 1 grants to local educational agencies (LEAs) for many purposes that meet the LEA's identified needs, including school safety. Any increase in federal grant funds should be prioritized to immediately implement school safety improvements on campuses, including counseling and mental health programs, addressing ways to integrate health and safety practices into school or athletic programs, and disseminating best practices and evaluating program outcomes relating to any LEA activities to promote student safety and violence prevention.

A safe learning environment for all students is crucial to advancing the purposes of the SSAE program. TEA further requests LEAs to submit SSAE applications that prioritize increased spending on allowable school safety training and activities.

Examples of Uses for School Safety

School safety initiatives

Counseling

Mental health programs

Health and safety practices

Statewide Use of Funds Data (2019-2020)

Content Area	Number of LEAs	Amount Expended
Well-Rounded Education	397	\$24,161,218
Safe and Healthy Students	456	\$28,207,819
Effective Uses of Technology	312	\$11,889,963

Title IV, Part A, Subpart 1

PS3107

Application Schedule

PS3107 Title IV, Part A Schedule – Part 1

<Name of Grant Program>	Instructions
<Program Description> PS3107 – Title IV, Part A - SSAEP	

The LEA has redirected 100% of its Title IV, Part A funds using REAP/Funding Transferability.

Program Implementation is in accordance with the Program Specific Provisions and Assurances certified prior to the completion of this application. To view the General and Program Specific Provisions and Assurances and Guidelines, visit the TEA Grant Opportunities webpage.

Part 1: Planned Uses of Funds

A. Available Funds	
1. Current Year Allocation of Funds	\$
2. Transfer in from Title II, Part A	\$
3. Transfer out from Title IV, Part A	\$
4. Total Allocation and Transfer Amount(s)	\$

B. Planned Uses of Funds			
Service	Description	Amount Budgeted	Percentage of Budget
1. Administration	Direct administration costs	\$ <small>(Maximum of 2% of Total Budget)</small>	%
2. Activities to support well-rounded educational opportunities	Provide all students with access to a well-rounded education	\$ <small>(Minimum of 20% of Total Budget if Part 1A, Line 4 is \$30,000 or more)</small>	%
3. Activities to support safe and healthy students	Improve school conditions for student learning	\$ <small>(Minimum of 20% of Total Budget if Part 1A, Line 4 is \$30,000 or more)</small>	%
4. Activities to support effective use of technology	Activities to improve use of technology in order to improve academic achievement and digital literacy for all students	\$ <small>(Must budget some amount of funds if Part 1A, Line 4 is \$30,000 or more)</small>	%
Total Budget (Lines 1 – 4)		\$	%
5. Technology Infrastructure	Purchasing devices, equipment, and software applications in order to address readiness shortfalls	\$ <small>(Maximum of 15% of Line 4)</small>	%

Part 2: Program Requirement Assurances

A. Comprehensive Needs Assessment

1. (Required if Amount in Part 1A, Line 4 \geq \$30,000)

The LEA has conducted a comprehensive needs assessment of the access to, and opportunities for a well-rounded education for all students; school conditions for student learning; and access to personalized learning experiences supported by technology, as required every three years.

2. (Required if Amount in Part 1A, Line 4 $<$ \$30,000)

The LEA receives less than \$30,000; has consulted with stakeholders to determine how to prioritize the use of funds. A comprehensive needs assessment is not required.

B. Prioritized Distributions of Funds (Required)

1. The LEA assures that the distribution of funds has been prioritized in accordance with ESSA Section 4106(e)(2)(A).

Part 2: Program Requirement Assurances (continued)

C. Supplement, Not Supplant (Required)

1. The LEA assures that all funds will supplement, and not supplant, non-federal funds that would otherwise be used for these activities.
2. The LEA assures that funds will not be used to fulfill state law, rules and regulations (i.e. SBOE, TEC, TAC, COE), state agency licensure requirements, and local school board policy.

D. Support for Well-Rounded Educational Opportunities (Required if there is an amount entered in Part 1B, Line 2)

1. All activities and programs provide access to and opportunities for a well-rounded education for all students.

E. Support for Safe and Healthy Students (Required if there is an amount entered in Part 1B, Line 3)

1. All activities and programs support safe and healthy students and improve school conditions for student learning in order to create a healthy and safe school environment.

F. Support for the Effective Use of Technology (Required if there is an amount entered in Part 1B, Line 4)

1. All activities and programs support the effective use of technology and increase access to personalized learning experiences supported by technology and professional development.

Title IV, Part A USDE Approved Waivers 2020-2021



LEA comprehensive needs assessments

Content-area spending requirements

Well-Rounded Education

Safe and Healthy Students

Use of Technology –portion of funds requirement

15 % spending limitation for technology infrastructure

Consultation and Stakeholders

Required Consultation and Stakeholders

An LEA is *required* to engage in continued consultation with stakeholders.





Required Stakeholders

Parents

Students

Private School Officials

Principals

Teachers

School Leaders

Specialized Instructional Support
Personnel

Local Government

Community-Based Organizations

Indian Tribes

Charter School Leaders

Others with Relevant and
Demonstrated Expertise

Use of Funds Guidance

LEA Ensures

1. Prioritized distribution of Title IV, Part A funds
2. Consultation with private nonprofit (PNP) schools

3. Activities and/or resources are:

Supplemental;

Identified in *Comprehensive Needs Assessment*;

Continued consultation of stakeholders and coordination;

Reasonable;

Necessary;

Allocable; and

Allowable

4. Expenditure(s) meet all EDGAR requirements
5. LEA policies and procedures followed
6. Evaluation of student achievement
7. No more than 15% for purchasing technology infrastructure
8. Continued consultation of stakeholders and coordination

Program Evaluation

Each LEA that receives Title IV, Part A funds must meet the following program evaluation requirements.

Periodically evaluate effectiveness of the activities to support the program objectives and intended outcomes

Annually submit a report regarding how funds are used to meet the distribution requirements

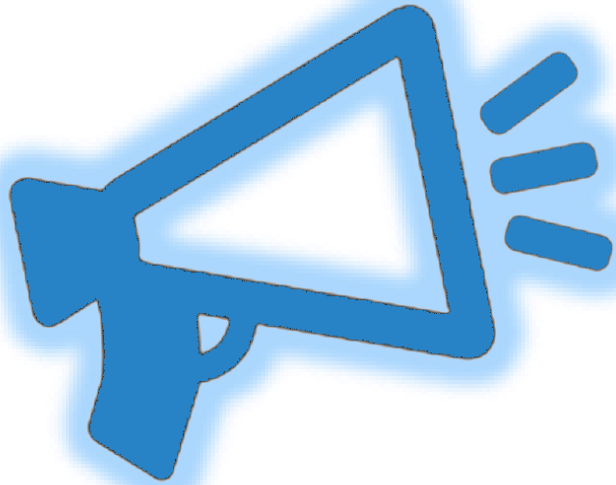
How the expenditure will be evaluated to measure a positive impact on student achievement

*Additional Program Evaluation Requirement: Title IV, Part A Funded *Field Trips**

Any Title IV, Part A funds used to support educationally-related field trips:

***Must* include an evaluation of the field trip that measures the impact on student achievement**

Program Assurances (selected)



Prioritization of Services

Title IV, Part A, Subpart 2: Internet Safety

Non-Smoking Policy

Prioritization of Services*

LEAs must prioritize the distribution of funds for schools with:

Greatest needs

Highest percentages or numbers of children

Identification for comprehensive support and improvement

Targeted support implementation and improvement plans; or

Persistently dangerous public elementary or secondary school(s)

ESEA section 4106(e) (2) and (f)*



Internet Safety: Title IV, Part A, Subpart 2

The LEA shall adhere to all requirements of the ESSA [Title IV, Part A, Subpart 2, Section 4121 Internet Safety \(20 U.S.C. 7131\)](#) statute.

Below is a *summary* of the Internet Safety requirements:

No funds made available under Title IV, Part A, Subpart 1 to an LEA for an elementary school or secondary school that does not receive services at discount rates under the [Communications Act of 1934 \(47 U.S.C. 254\(h\)\(5\)\)](#) may be used to purchase computers, used to access the internet, or to pay for direct costs associated with accessing the internet for such school unless the school, school board, LEA, or other authority with responsibility for administration of such school does the following:

1. ***Policy of Internet safety for minors*** that includes the operation of a technology protection measure with respect to any of its computers with internet access that protects against access through such computers to visual depictions that are obscene, are child pornography, or are harmful to minors, and is enforcing the operation of such technology protection measure during any use of such computers by minors; and

2. ***Policy of Internet safety that includes the operation of a technology protection measure*** with respect to any of its computers with internet access that protects against access through such computers to visual depictions that are obscene or child pornography and is enforcing the operation of such technology protection measure during any use of such computers.

An LEA shall certify its compliance with these requirements annually upon submission of the ESSA Consolidated Application.

ESSA Consolidated Plan Application

Program-Specific and ESSA Provisions and Assurances

No person shall permit smoking within any indoor facility owned or leased or contracted for and utilized by such person for provision of **routine or regular kindergarten, elementary, or secondary education or library services to children.**

Smoking shall not be allowed within any indoor facility (or portion of such a facility) owned or leased or contracted for, and utilized by, such person for the provision of **regular or routine health care or day care or early childhood education programs.**

ESSA Section 8573*

Title IV, Part A Program Guide

Intent and Purpose

Title IV, Part A, Subpart 1, Student Support and Academic Enrichment grants are designed to improve the academic achievement of all students by increasing the capacity of LEAs, schools, and communities to

- (1) provide all students with access to a well-rounded education,
- (2) improve school conditions for student learning, and
- (3) improve technology in order to enhance academic outcomes and digital literacy of students.

This program guide contains basic program information as well as direct links to related information and resources available on the Texas Education Agency web site. This resource provides general program information and should not be perceived as an all-inclusive listing of all statutory requirements. Upon certification and submission of the ESSA Consolidated Federal Grant Application, LEAs certify that they will comply with all requirements noted in statute.



TITLE IV, PART A, SUBPART 1: STUDENT SUPPORT AND ACADEMIC ENRICHMENT PROGRAM GUIDE

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Title IV, Part A Program Guide

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Engage in timely and meaningful consultation with a broad range of required stakeholders in the area served by the LEA to identify relevant, evidence-based activities to carry out the goals of the grant program

An LEA must continue to consult with stakeholders to improve the activities it conducts under Title IV, Part A, Subpart 1 and coordinate with other related strategies, programs, and activities.

Allowable Use of Funds

Each LEA must be able to respond appropriately to and maintain documentation for each of the following questions to determine whether an expenditure would be allowable:

1. How is the expenditure **reasonable** and **necessary** to carry out the intent and purpose of the program?
2. What **need**, as identified in the comprehensive needs assessment, does the expenditure address? Explain how the expenditure addresses this need.
3. How will the expenditure be **evaluated** to measure a positive impact on student achievement?
4. How is the expenditure **supplemental** to other nonfederal programs?

Unallowable Use of Funds

Title IV, Part A, Subpart 1 programs, activities, and services which are also mandated by state law, rule, and regulation, the State Board of Education (SBOE) rule, or local board policy cannot be funded with Title IV, Part A grant funds.

Unallowable use of funds for this grant program include, *but are not limited to*, the following:

- suicide prevention training for personnel and specialized support personnel;
- mental health first aid training to fulfill required continuing education of personnel;
- well-rounded activities and competitions that are University Interscholastic League events; and
- nursing continuing education to fulfill yearly license renewal.

Web Resources

[TEA Budgeting Costs Guidance Handbook](#)

Unallowable Use of Funds

Title IV, Part A Statute General Provisions

Section 4001(b)

Medical services, drug treatment, or rehabilitation not integrated through Title IV, Part A student supports, referral to treatment, etc.,

Section 4001(c)

Prescriptions for controlled substances

ESSA Statute, Part F, Subpart 2: Uniform Provisions

Section 8526

Construction, renovation, or repair

TEA Budgeting Cost Guidance Handbook

Program and Fiscal Requirements (selected)

Supplement Not Supplant



Title IV, Part A, program funds may be used ***only*** to supplement, and not supplant.

More detailed information may be found in the [Supplement Not Supplant Handbook](#).

Program Requirements (selected)

Ongoing stakeholder consultation

Comprehensive Needs Assessment

Increase opportunities for groups underrepresented

Description of content area activities

Evaluation effectiveness of program activities

Annually report

If Title IV, Part A Allocation > \$30,000

- Well-rounded education –20% minimum
- Safe and healthy students –20% minimum
- Effective use of technology –no more than 15% technology infrastructure purchase



If Title IV, Part Allocation < \$30,000



Ongoing consultation with stakeholders required

Required to provide assurances in at least one of the content areas

Prioritization in distribution of funds required

LEA should maintain documented evidence of how the priorities were determined

No comprehensive needs assessment required

Must include an evaluation of the travel that measures the impact on student achievement

Field trips

Competitions

Out of state travel

Additional Program Requirements: Title IV, Part A Educationally – Related Funded Travel

Identified in the ***Comprehensive Needs Assessment*** (CNA)

Includes ***instructional activity*** that cannot be conducted on campus

Included in the ***Campus Improvement Plan*** (CIP)

Includes instruction that addresses the ***Texas Essential Knowledge and Skills*** (TEKS)

Allocable, reasonable, necessary

Positive impact on student achievement

Includes an ***evaluation*** measuring the impact on student achievement

Private School Equitable Services

Title IV, Part A, Subpart 1
equitable services requirements
ESSA Statute, Title VIII,
Section 8501

Timely and meaningful
consultation

Consultation of Affirmation form

PS3099 Private School Equitable
Services Schedule

Secular services, materials, and
equipment

LEA ensures internal control and
reserves title to all purchases

Private School Schedule - PS3099

Program Description
PS3099 - Private Nonprofit (PNP) School Equitable Services

Part 1: Private Schools Consultation and Participation

A. Private Schools Consultation	
1. Are any private nonprofit schools located within the LEA's boundaries?	<input type="radio"/> Yes <input type="radio"/> No
2. Does the LEA have any Title I, Part A eligible students attending private nonprofit schools outside the boundaries?	<input type="radio"/> Yes <input type="radio"/> No

B. Are any private nonprofit schools participating?					
Title I, Part A	Title I, Part C Migrant	Title II, Part A	Title III, Part A ELA	Title III, Part A Immigrant	Title IV, Part A-SSAEP
<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

F. Equitable Services Calculation for Title IV, Part A SSAEP	
Description	Calculation
1. Total student enrollment in LEA	
2. Total student enrollment in participating private schools	
3. Total enrollment (F.1 + F.2)	
4. Total current year Title IV, Part A allocation	
5. Transfer in from Title II, Part A	
6. Transfer out from Title IV, Part A	
7. Total allocation and transfer amount(s) (F.4 + F.5 - F.6)	
8. LEA reservation for direct administration (not to exceed 2% of F.7)	
9. LEA amount to calculate Private Schools Equitable Services (F.7 - F.8)	
10. LEA Per-Pupil Allocation (F.9 / F.3)	
11. Calculated Private School Reservation (F.2 X F.10)	



Federal Program Compliance Division Affirmation of Consultation with Private Nonprofit School (PNP) Officials: Formula Grants School Year 2021-2022

The Elementary and Secondary Education Act of 1965 (ESEA), as amended by the Every Student Succeeds Act (ESSA), P.L. 114-95, requires that consultation occur between the local educational agency (LEA) and private nonprofit school (PNP) officials.

Name of LEA		CDN		Name of PNP	
-------------	--	-----	--	-------------	--

Private Nonprofit School Participation

Select from the dropdown menu to indicate the method by which the PNP will receive program services. If the PNP is not participating in the grant program, select "Not participating."

Title I, Part A	Title I, Part C	Title II, Part A	Title III, Part A--EL	Title III, Part A--IMM	Title IV, Part A
▼	▼	▼	▼	▼	▼

Timely and Meaningful Consultation/Equitable Program Design

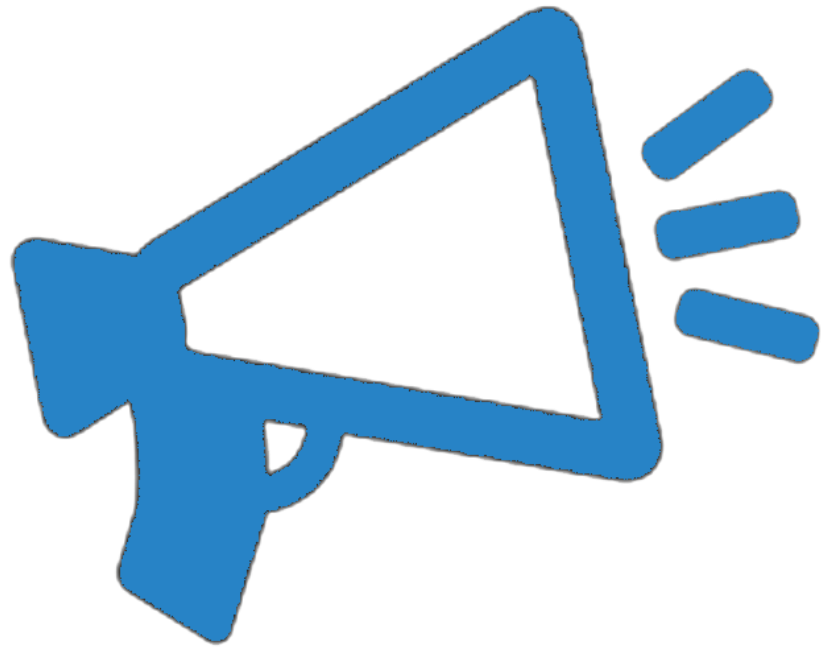
For each grant program for which the PNP will receive services, the PNP official selects "Yes" or "No" to indicate both of the following:*

- a. Timely and meaningful consultation with the LEA has occurred and is ongoing, **and**
- b. Program design is equitable with respect to eligible private school children.

Title I, Part A	Title I, Part C	Title II, Part A	Title III, Part A--EL	Title III, Part A--IMM	Title IV, Part A
<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

*Per ESSA Section 1117(b), Title I, Part A; Section 8501(c) for Title I, Part C; Title II, Part A; Title III, Part A--EL; Title III, Part A--IMM; and Title IV, Part A

Affirmation of Consultation Form



Download from TEAL/ESSA Reports

Submit through TEAL/ESSA Reports

Due September 3

Compliance Monitoring



ESSA Consolidated Federal Grant Application
Program-Specific Provisions and Assurances
Federal Program Compliance Random Validations
Federal Fiscal Monitoring Compliance
ESSA Consolidated Compliance Report

Most Common Areas of Improvement Needed

Stakeholders omitted

- Students
- Parents
- Local government representatives
- Community-based organizations

Stakeholder participant roles undefined

Lack of comprehensive needs assessment/data

Resources



Searching for Answers to Title IV, Part A Questions?

[Federal Program Compliance Division Webpage](#)

[Title IV, Part A Program Webpage](#)

[Title IV, Part A Program Webinar](#)

[Title IV, Part A, Subpart 1 Program Guide](#)

[Title IV, Part A Frequently Asked Questions](#)

[ESSA Consolidated Plan Application Program Guidelines](#)

[Program-Specific ESSA Provisions and Assurances](#)

Title IV, Part A: Student Support and Academic Enrichment

The Elementary and Secondary Education Act of 1965, as reauthorized by the Every Student Succeeds Act (ESSA) of 2015, established Title IV, Part A, the Student Support and Academic Enrichment Grant Program (SSAE). The overarching goal of Title IV, Part A, is to increase the capacity of state education agencies, local educational agencies (LEAs), campuses, and communities to meet the following three goals:

1. Provide all students access to a well-rounded education
2. Improve academic outcomes by maintaining safe and healthy students
3. Improve the use of technology to advance student academic achievement

LEA Requirements

1. LEAs must complete and submit to TEA the ESSA Consolidated Federal Grant Application to receive ESSA Title IV, Part A, program allocations.
2. An LEA may, if it chooses, apply for funds in consortium with one or more surrounding LEAs and combine funds each LEA receives.
3. LEAs receiving \$30,000 or more shall conduct a comprehensive needs assessment of the access to, and opportunities for, a well-rounded education for all students; school conditions for student learning; and access to personalized learning experiences supported by technology.
4. LEAs receiving less than \$30,000
 - a. are NOT required to conduct a comprehensive needs assessment and
 - b. are only required to focus on one of the three Title IV, Part A, content areas: 1) well-rounded education, 2) safe and healthy students, and 3) effective use of technology.
5. LEAs may transfer Title IV, Part A, funds to another federal allocation. For guidance, see [Non-Regulatory Guidance: Fiscal Changes and Equitable Services Requirements](#).

Statewide Title IV, Part A Use of Funds Data

Section 4104(a)(2) requires public reporting on how funds made available under this subpart are being expended by local educational agencies.

Statewide Title IV, Part A Use of Funds Data

Section 4104(a)(2) requires public reporting on how funds made available under this subpart are being expended by local educational agencies.



2018-2019

Content Area	# of LEAs	Amount Expended
Well-Rounded Education	395	\$38,608,908
Safe and Healthy Students	468	\$27,123,771
Effective Use of Technology	307	\$7,821,297

2019-2020

Content Area	# of LEAs	Amount Expended
Well-Rounded Education	397	\$24,161,218
Safe and Healthy Students	456	\$28,207,819
Effective Use of Technology	312	\$11,889,963



Federal Program Compliance Division

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Title IV, Part A, Subpart 1: Student Support and Academic Enrichment Frequently Asked Questions

This document provides the answers to Title IV, Part A, Subpart 1 related questions received by the Division during the month(s) noted below. You can also navigate through the document using the Bookmarks in your PDF viewer.

[October/November 2020](#) | [December 2020/January 2021](#) | [February 2021](#) | [March 2021](#) | [April 2021](#) | [May 2021/June 2021](#)

For questions or additional information, please contact us at ESSASupport@tea.texas.gov.

October and November 2020

Questions and responses are organized by the following topic areas for October and November 2020.

- [Use of Funds](#)
- [Private Nonprofit \(PNP\) Equitable Services](#)
- [Comprehensive Needs Assessment \(CNA\) and Documentation](#)
- [Flexibility, Transferability, Waivers, and Carryover](#)
- [State Requirements](#)

ESC 14 Title IV, Part A SSSI Contacts

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ESC 14 Title IV, Part A SSSI Website

[School Safety State Initiative - ESC 14](#)



Title IV, Part A School Safety State Initiative

The goal of this website is to provide guidance and resources to schools, Education Service Centers, and parents about Title IV, Part A programs, state-required school safety, and mental health requirements, as well as to provide resources on these topics.

Resources to keep your school safe and supported

- [TAA Letter, July 1st- Senate Bill 462 \(SB 462\) Implementation: Transportation Funding During Disaster Declaration](#)
- [TAA Letter, June 17th- ESSA Waiver Requests to USDE](#)
- [TAA Letter, June 3rd- 2021 Hurricane Season Preparations](#)
- [Title IV, Part A Student Support and Academic Enrichment Grant: Approved Waivers, 2020-2021](#)
- [TEA Federal Grants Program Compliance Statewide Training Series](#)

[ESSA Title IV, Part A Statute](#)

[USDE Non-Regulatory Guidance: Student Support and Academic Enrichment Grant](#)

[National Center on Safe Supportive Learning Environments: ESSA Title IV, Part A](#)

Program Contact



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This presentation is intended solely to provide general information and guidance to Texas ESCs, LEAs, and participating Private Schools and reflects the Texas Education Agency's current understanding of statute and applicable federal guidance. The content of this presentation is subject to change as a result of further potential information and guidance provided by federal agencies with regulatory oversight of these programs. This presentation does not constitute legal advice, and entities are, therefore, advised to seek legal counsel regarding the information and guidance provided in this presentation before acting on such information and guidance.